



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/805,646	03/13/2001	Mark Grimse	1040659-991101

CONFIRMATION NO. 4015

FORMALITIES LETTER



OC000000005937402

Gray Cary Ware & Freidenrich LLP
3340 Hillview Avenue
Palo Alto, CA 94304-1203

Date Mailed: 04/05/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

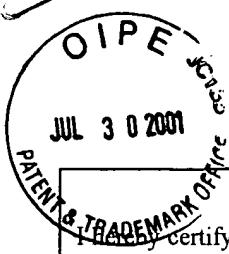
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

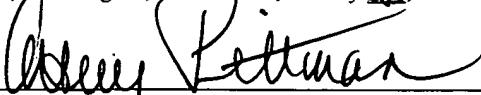


Attorney Docket No.: 1040659-991101

sector \$ #3

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: BOX NO FEE, Assistant Commissioner for Patents, Washington, D.C. 20231, on July 25, 2001.


Cathy Pittman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Grimse

Serial No: 09/805,646 Group Art Unit: 2122

Filed: March 13, 2001 Examiner: N/A.

Title: AUTOMATED PROCESS GUIDANCE SYSTEM

* *

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Corrected Application Papers" mailed by the United States

Patent and Trademark Office on April 5, 2001. The following documents are enclosed to complete
the filing of the above-referenced patent application:

1. Copy of Notice to File Corrected Application Papers (1 pg.)
2. Petition for Two (2) Month Extension of Time (1 pg.)
3. Fee Transmittal (1 pg.)
4. Check # 484307 in the amount of \$195.00;
5. Transmittal of Formal Drawings (2 pgs.)
6. Nineteen (19) sheets of formal drawings;
7. Return Postcard

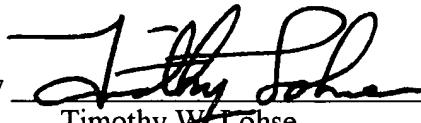
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: July 25, 2001

By



Timothy W. Löhse
Reg. No. 35,255
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH
1755 Embarcadero Road
Palo Alto, CA 94303
Telephone: (650) 320-7426

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mark Grimse et al.

Serial No.:09/805,646

Filed: March 13, 2001



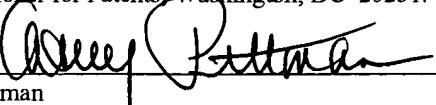
Group Art Unit: 2122

Examiner: Not Yet Assigned

Title: AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD

Certificate of Mailing Via First Class Mail on July 25, 2001

I hereby certify that this paper is being deposited with the United States Postal Service with postage sufficient for First Class Mail on the date indicated above and is addressed to: Box NO FEE AMENDMENT, Commissioner for Patents, Washington, DC 20231.



Cathy PittmanTRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
Washington, DC 20231

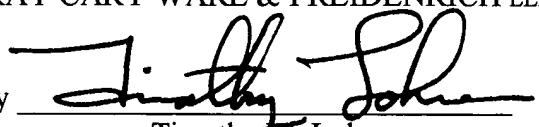
Dear Sir:

Transmitted herewith are nineteen (19) sheets of formal drawings for the above-identified application.

Please address all future communications regarding this application to:

Timothy Lohse
Patent Department - HV
GRAY CARY WARE & FREIDENRICH LLP
1755 Embarcadero Road
Palo Alto, CA 94303

Please direct all telephone calls to Timothy W. Lohse at (650) 320-7426.

Dated July 25, 2001 GRAY CARY WARE & FREIDENRICH LLP
By 
Timothy W. Lohse
Reg. No. 35,255
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH LLP
ATTN: Patent Department
1755 Embarcadero Road
Palo Alto, CA 94303
(650) 320-7400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#3

FEET TRANSMITTAL
for FY 2001

JUL 30 2001

Patent fees are subject to annual revision.

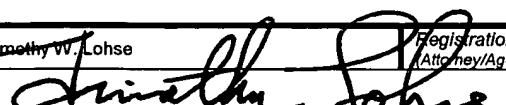
TOTAL AMOUNT OF PAYMENT		(\$ 195.00)
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Complete if Known

Application Number	09/805,646
Filing Date	March 13, 2001
First Named Inventor	Mark Grimse
Examiner Name	Unknown
Group Art Unit	2122
Attorney Docket No.	1040659-991101

METHOD OF PAYMENT					FEE CALCULATION (continued)				
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 07-1896 Deposit Account Name: Gray Cary Ware & Freidenrich, LLP <input checked="" type="checkbox"/> Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					3. ADDITIONAL FEES Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for ex parte reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month 117 890 217 445 Extension for reply within third month 118 1,390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fifth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 11 0240 55 Petition to revive – unavoidable 141 1,240 241 620 Petition to revive – unavoidable 142 1,240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) _____				
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					SUBTOTAL (1) (\$ 00.00)				
1. BASIC FILING FEE Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee (\$) Fee Description 101 710 201 355 Utility filing fee 106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee 114 150 214 75 Provisional filing fee					SUBTOTAL (1) (\$ 00.00)				
2. EXTRA CLAIM FEES Total Claims -20** = Extra Claims Fee from below Fee Paid Independent -3** = X = _____ Claims Multiple Dependent X = _____					SUBTOTAL (2) (\$ 00.00)				
Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee (\$) Fee Description 103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 ** Reissue independent claims over original patent ** Reissue claims in excess of 20 110 18 210 9 and over original patent					SUBTOTAL (3) (\$ 195.00)				
* Reduced by Basic Filing Fee Paid									

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Timothy W. Lohse	Registration No. (Attorney/Agent)	35,255	Telephone	650-320-7426	
Signature					Date	July 25, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Gray Cary\EMI\7082733.1

1040659-991101